

General information about company

Scrip code	540613
Name of the company	GAUTAM EXIM LIMITED
Whether company is SME	Yes
Class of Security	Equity Shares
Type of report	Pre-listing
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	10-07-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	11-07-2017
Shareholding pattern filed under	Regulation 31 (1) (a)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of (A+B+C)
								No of Voting (XIV) Rights			
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	4	2246625			2246625	72.92	2246625		2246625	72.92
(B)	Public	166	834375			834375	27.08	834375		834375	27.08
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	170	3081000			3081000	100	3081000		3081000	100

Table I - Summary Statement holding of specified securities

					Shareholding , as a	Number of	Number of Shares pledged or
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Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	% assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Locked in shares (XII)		otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				72.92	2246625	100			2246625
(B)	Public				27.08	3375	0.4			834375
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	2250000	73.03			3081000

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No of Voting (XIV) Rights			
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	2	2158425			2158425	70.06	2158425		2158425	70.06
(d)	Any Other (specify)	2	88200			88200	2.86	88200		88200	2.86
	Sub-Total (A)(1)	4	2246625			2246625	72.92	2246625		2246625	72.92
(2)	Foreign										
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	4	2246625			2246625	72.92	2246625		2246625	72.92
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up	159	528375			528375	17.15	528375		528375	17.15

	to Rs. 2 lakhs.										
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	4	204000			204000	6.62	204000		204000	6.62
(e)	Any Other (specify)	3	102000			102000	3.31	102000		102000	3.31
Sub-Total (B)(3)		166	834375			834375	27.08	834375		834375	27.08
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		166	834375			834375	27.08	834375		834375	27.08
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		170	3081000			3081000	100	3081000		3081000	100
Total (A+B+C)		170	3081000			3081000	100	3081000		3081000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
(1)	Indian									
(a)				70.06	2158425	100			2158425	
(d)				2.86	88200	100			88200	
Sub-Total (A)(1)				72.92	2246625	100			2246625	
(2)	Foreign									
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				72.92	2246625	100			2246625	
B	Table III - Statement showing shareholding pattern of the Public shareholder									
(1)	Institutions									
(3)	Non-institutions									
(a(i))				17.15	3375	0.64			528375	
(a(ii))				6.62	0	0			204000	
(e)				3.31	0	0			102000	
Sub-Total (B)(3)				27.08	3375	0.4			834375	
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				27.08	3375	0.4			834375	
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder									
Total (A+B+C2)				100	2250000	73.03			3081000	

Total (A+B+C)			100	2250000	73.03		3081000
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Individuals/Hindu undivided Family			
Searial No.	1	2	
Name of the Shareholders (I)	BALASUBRAMANIAN RAMAN	NAGALAXMI BALASUBRAMANIAN	Click here to go back
PAN (II)	ABEPR9439B	AEGPB3803G	Total
No. of fully paid up equity shares held (IV)	1168650	989775	2158425
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1168650	989775	2158425
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	37.93	32.13	70.06
Number of Voting Rights held in each class of securities (IX)			
Class eg:X	1168650	989775	2158425
Class eg:y			
Total	1168650	989775	2158425
Total as a % of Total Voting rights	37.93	32.13	70.06
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	37.93	32.13	70.06

Number of Locked in shares (XII)			
No. (a)	1168650	989775	2158425
As a % of total Shares held (b)	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	1168650	989775	2158425
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)			
Searial No.	1	2	
Category	Director or Director's Relatives	Director or Director's Relatives	Click here to go back
Name of the Shareholders (I)	GAUTAM RAM BALASUBRAMANIAN	RAJALAKSHMI GAUTAM	
PAN (II)	AFHPB0806K	AIOPG6901A	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	44100	44100	88200
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	44100	44100	88200
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.43	1.43	2.86
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	44100	44100	88200
Class eg:y			
Total	44100	44100	88200
Total as a % of Total Voting rights	1.43	1.43	2.86
No. Of Shares Underlying Outstanding convertible			

securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.43	1.43	2.86
Number of Locked in shares (XII)			
No. (a)	44100	44100	88200
As a % of total Shares held (b)	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	44100	44100	88200
Reason for not providing PAN			

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Searial No.	1	2	
Name of the Shareholders (I)	KETAN V THAKKAR	DEVICHAND PURMAL HUF	Click here to go back
PAN (II)	ABWPT0713A	AABHD2269R	Total
No. of fully paid up equity shares held (IV)	45000	111000	156000
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	45000	111000	156000
Shareholding as a % of total no. of			

shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.46	3.6	5.06
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	45000	111000	156000
Class eg:y			
Total	45000	111000	156000
Total as a % of Total Voting rights	1.46	3.6	5.06
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.46	3.6	5.06
Number of Locked in shares (XII)			
No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of equity shares held in dematerialized form (XIV)	45000	111000	156000
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)			
Searial No.	1	2	
Category	Bodies Corporate	Clearing Members	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	SHREE GAJANAN PAPER AND BOARDS PVT LTD	MASTER PANTOMATH STOCK BROKERS PRIVATE LIMITED	Click here to go back
PAN (II)	AADCS4411R	AAHCP6713F	Total

No. of the Shareholders (I)	1	1	0
No. of fully paid up equity shares held (IV)	54000	45000	0
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	54000	45000	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.75	1.46	0
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	54000	45000	0
Class eg:y			
Total	54000	45000	0
Total as a % of Total Voting rights	1.75	1.46	0
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.75	1.46	0
Number of Locked in shares (XII)			
No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of equity shares held in dematerialized	54000	45000	0

form (XIV)			
Reason for not providing PAN			
Reason for not providing PAN			